

INTRODUCING COSEGIC PORTAL

Where expertise meets innovation

In an ever-changing regulatory landscape, the task of maintaining compliance can be both time-consuming and complex.

Firms of all sizes face mounting demands, from meeting stringent reporting deadlines and managing multiple disclosure requirements, to ensuring staff receive timely training.

At Cosegic, we believe in a more intelligent approach – one that blends cutting-edge technology with the insight and experience of seasoned compliance professionals.



We are proud to introduce Cosegic Portal: a compliance and learning management solution designed to help you maintain robust oversight, streamline administrative tasks, and ensure your firm stays ahead in an environment where the stakes have never been higher.

A platform designed for modern compliance challenges

Cosegic Portal is hosted on Microsoft Azure servers, ensuring a secure, resilient, and globally accessible environment. From the outset, the platform was built with a clear purpose: to deliver a powerful, modular solution that simplifies compliance management and enhances operational efficiency. Cosegic Portal brings together essential tools in a user-friendly design, offering flexibility and scalability to meet your firm's unique requirements. Whether you are a boutique financial adviser or a large-scale investment firm, Cosegic Portal can scale with you – adapting as your firm evolves and the regulatory landscape shifts.

Core modules that streamline your workflows

At the heart of Cosegic Portal are five core modules, each designed to address a critical aspect of the compliance lifecycle. These modules can be activated as needed, providing flexibility and ensuring you access the functionality most relevant to your business.

1. Compliance calendar

- Manage your regulatory deadlines, filings, and reviews within a single dashboard. Assign tasks, set reminders, and gain real-time visibility over key upcoming obligations.
- The Compliance Calendar provides a clear, auditable record of your firm's regulatory activities, ensuring you can easily demonstrate compliance to Regulators when needed.

2. Attestations

- Replace traditional, manual attestations with automated requests and reminders. The system handles the administrative burden of following up on completions, ensuring that only critical items requiring your attention are presented.
- Track completion rates, highlight overdue responses, and maintain a robust audit trail to demonstrate compliance effectively.

3. Staff submissions

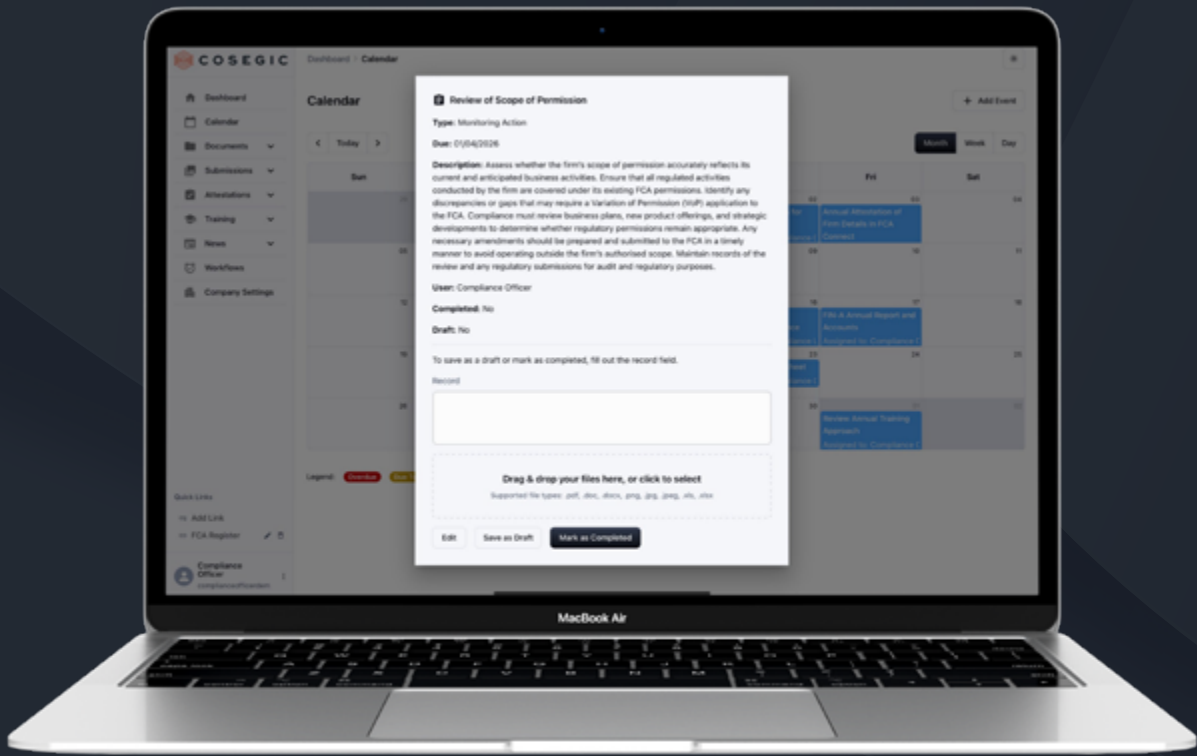
- Handle personal account dealing, gifts and entertainment, and outside business interests through efficient and intuitive workflows. Maintain transparent oversight and ensure compliance across all activities.
- Automate low-risk approvals in line with your firm's policies and procedures, such as predefined limits. This reduces bottlenecks and allows your team to focus on higher-priority tasks.

4. E-learning

- CPD-accredited training content, drafted and maintained by Cosegic's expert consultants, tailored specifically for regulated entities. The training is designed to be flexible and self-paced, allowing staff to complete it at a time that suits them.
- Track training completions effortlessly and encourage compliance through automated reminders and chasers for overdue training.

5. News and events

- Stay informed with curated regulatory updates, industry insights, and relevant articles, drafted by Cosegic's expert compliance consultants.
- Enjoy tailored content delivery through advanced tagging and filtering, ensuring you only receive the information that matters to your specific sector. This targeted approach saves time and keeps you focused on the most pertinent developments.



Automation and efficiency at the forefront

Cosegic Portal is not just a static repository of information, it is a dynamic, proactive system that automates repetitive tasks.

Calendar automation

Manage your compliance calendar with ease through automated scheduling of key tasks, reminders, and deadlines. The system handles daily tracking, while an annual review with your consultant ensures the calendar remains tailored to your firm's unique requirements. This collaborative approach keeps your compliance schedule accurate and aligned with regulatory obligations.

New joiner and leaver workflow efficiency:

Streamline onboarding with automated processes for initial submissions, attestations, training, and the allocation of the latest compliance documents. For leavers, automated workflows ensure all outstanding actions are closed out efficiently, with a clear rationale documented for each item. This ensures consistency and a seamless compliance process for both new and departing staff.

Automated approvals and task allocation

Automate low-risk approvals and the allocation of attestations, training, and compliance documents, all while ensuring compliance has full oversight. The system intelligently flags issues or problems to compliance when necessary, allowing critical decisions to be in focus rather than burdensome administration. Basic approvals are handled seamlessly by the system, reducing unnecessary involvement and freeing up compliance resources.

Automated follow-ups

Let Cosegic Portal handle the chasing. The system automates reminders and follow-ups, ensuring tasks are completed on time without requiring intervention from your team.

By combining intelligent automation with tailored consultant support, Cosegic Portal allows your team to focus on strategic priorities, while routine tasks are handled seamlessly in the background.

Security, integrity, and data ownership

Your data's integrity and confidentiality remain paramount. Cosegic Portal leverages Microsoft Azure's robust infrastructure, employing encryption at rest, secure HTTPS connections, and stringent data governance. All data is stored in UK-based data centres, meeting local regulatory requirements and providing peace of mind.

Equally important, you retain full ownership of your data. Should you ever need to export records for external reporting, due diligence, or an audit, Cosegic Portal makes the process simple and transparent. Our priority is ensuring you feel confident that your information is safe, accessible, and always under your control.



Creating a partnership

What truly sets Cosegic Portal apart is the synergy it creates between technology and human expertise. Cosegic's seasoned consultants can access your bespoke environment, offering personalised insights, guidance and assistance. This seamless integration means your team can tap into expert knowledge to navigate complex regulations and strengthen your compliance approach over time.

Instead of relying solely on internal resources or external advisers, Cosegic Portal allows you to blend both. This hybrid model ensures you benefit from robust technology-driven solutions underpinned by deep industry understanding – a combination that consistently delivers stronger, more sustainable results.

Future-focused and adaptive

Regulatory demands evolve rapidly, and static tools quickly become obsolete. Cosegic Portal is designed with adaptability in mind. As regulations shift, as your business model changes, and as technology advances, we continue to refine and enhance the platform. Additional features and integrations are on our roadmap, ensuring Cosegic Portal remains a cutting-edge solution that grows with you.

A strategic investment in your compliance journey

Choosing Cosegic Portal is more than just procuring software. It is a strategic investment in the integrity, resilience, and competitiveness of your firm. Through streamlined operations, richer insights, and expert support, Cosegic Portal enables your compliance function to transition from a cost centre to a source of strategic advantage.

By embracing this solution, you position your firm not only to meet current regulatory obligations but also to anticipate future challenges. Cosegic Portal's fusion of technology and consultancy lays the groundwork for a lasting culture of compliance – one where your team can operate confidently, knowing that their tools, data, and guidance are consistently keeping pace with the regulatory environment.

Embrace the future of compliance management

In a world where regulatory complexity shows no signs of easing, Cosegic Portal stands as a beacon of clarity, efficiency, and intelligence. Built to simplify processes, focus attention on what matters, and embed compliance excellence deep into your organisation, Cosegic Portal empowers you to navigate today's challenges while preparing for tomorrow's opportunities.

We invite you to discover how Cosegic Portal can transform the way you approach compliance – turning it from a reactive obligation into a proactive, strategic pillar of your firm's long-term success.

Contact us to find more

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